SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] SQUINTO STEPHEN P	2. Date of E Requiring S (Month/Day 06/17/202	Statement /Year)	3. Issuer Name and Ticker or Trading Symbol <u>Compass Therapeutics, Inc.</u> [NONE]					
(Last) (First) (Middle) C/O COMPASS THERAPEUTICS, INC. 245 FIRST STREET, 3RD FLOOR (Street) CAMBRIDGE, MA 02142 (City) (State) (Zip)			4. Relationship of Reporting Issuer (Check all applicable) X Director Officer (give title below)	10% C)wner (specify	File 6. II	d (Month/Day/ ndividual or Jo eck Applicable Form filed I Person	int/Group Filing Line) by One Reporting by More than One
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	Form: D (D) or II			4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Common Stock			34,540	D	(1)			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of S Underlying Derivative So (Instr. 4)		curity Convers		ise Form:	6. Nature of Indirect Beneficial Ownership (Instr.
	Date Exercisable	Expiratior Date	Title	Amount or Number of Shares	Price of Derivati Security	ive	Direct (D) or Indirect (I) (Instr. 5)	5)

Explanation of Responses:

1. 916 of these shares of common stock underlie unvested restricted stock awards that are subject to a right of repurchase in favor of the issuer, which vest as follows: restricted stock award granted on February 22, 2018, 43.625 shares vest monthly with shares fully vested on February 28, 2022.

/s/ Stephen P. Squinto

** Signature of Reporting Person

06/23/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.